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PATENT  
PD-201148  
Customer No. 020991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Chen et al.

Serial No.: 10/068,039

Filed: February 5, 2002

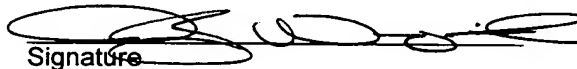
For:  
PREPROCESSING SIGNAL LAYERS  
IN A LAYERED MODULATION  
DIGITAL SIGNAL SYSTEM TO USE  
LEGACY RECEIVERS

Group Art Unit: 2631

Examiner: To Be Determined

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#5/A  
L. McLaughlin  
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PRELIMINARY AMENDMENT

Technology Center 2600

Commissioner of Patents  
Washington, DC 20231

Dear Sir:

Prior to examination of the above-referenced application, applicants  
respectfully request entry of the following amendments.

IN THE SPECIFICATION:

Please substitute the following paragraph 1 to appear as follows:

*A1*  
[0001] This continuation-in-part application claims priority under 35 U.S.C.  
§120 from United States Patent Application No. 09/844,401, filed April 27, 2001, and  
entitled "LAYERED MODULATION FOR DIGITAL SIGNALS" and from United States  
Patent Application No. 10/068,047, filed February 5, 2002, and entitled "DUAL  
LAYER SIGNALPROCESSING IN A LAYERED MODULATION DIGITAL SIGNAL  
SYSTEM", which are both incorporated by reference herein.